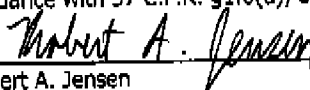


**F A X C O V E R**

*****OFFICIAL FAX*****

Date: March 19, 2009 **Number of pages (including cover):** 21**To:** Examiner Cam Y. T. Truong, US Patent and Trademark Office**Fax No.:** 571.273.4042**Serial No.:** 09/699,098-Conf. #7141**Title:** SECURE DATA INTERCHANGE**From:** Robert A. Jensen**Direct dial:** 617.646.8271**Our File #:** P0813.70016US02**CERTIFICATE OF FACSIMILE TRANSMISSION 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being transmitted via facsimile to the attention of Examiner Cam Y. T. Truong, US Patent and Trademark Office, FAX number 571.273.4042, at the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, in accordance with 37 C.F.R. §1.6(d), on March 19, 2009,


Robert A. Jensen

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617.646.8000 | fax 617.646.8646 | www.wolfgreenfield.com

PATENTS TRADEMARKS COPYRIGHTS TECHNOLOGY TRANSFERS LITIGATION

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P.2

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	SECURE DATA INTERCHANGE
---------------------------	--------------------------------

As the below named inventor(s), I/we declare that:
This declaration is directed to:

☐ The attached application, or

☒ Application No. 08/699088 filed on 10/27/2000

☒ As amended on Feb. 13, 2004; Aug. 23, 2004; Oct. 7, 2004; May 17, 2006;
Feb. 8, 2008; Oct. 16, 2008; Oct. 8, 2008; and Jan. 8, 2009

I/we believe that I/we are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;


I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

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All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)	
Inventor one: <u>Frederick S. Herz</u>	Date: <u>3/18/09</u>
Signature: 	Citizen of: <u>US</u>
Inventor two: <u>Walter Paul Labys</u>	Date: _____
Signature: _____	Citizen of: <u>US</u>

☒ Additional inventors or a legal representative are being named on 1 additional form(s) attached hereto.

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P.3

SUPPLEMENTAL SHEET TO PTO SB/01A

DECLARATION FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET		ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 1	
Inventor three: <u>David C. Parkes</u>	Date: _____	Signature: _____	Citizen of: <u>United Kingdom</u>
Inventor four: <u>Sampath Kannan</u>	Date: _____	Signature: _____	Citizen of: <u>US</u>
Inventor five: <u>Jason M. Elener</u>	Date: _____	Signature: _____	Citizen of: <u>US</u>
Inventor six: _____	Date: _____	Signature: _____	Citizen of: _____
Inventor seven: _____	Date: _____	Signature: _____	Citizen of: _____
Inventor eight: _____	Date: _____	Signature: _____	Citizen of: _____
Inventor nine: _____	Date: _____	Signature: _____	Citizen of: _____
Inventor ten: _____	Date: _____	Signature: _____	Citizen of: _____
Inventor eleven: _____	Date: _____	Signature: _____	Citizen of: _____
Inventor twelve: _____	Date: _____	Signature: _____	Citizen of: _____
Inventor thirteen: _____	Date: _____	Signature: _____	Citizen of: _____
Inventor fourteen: _____	Date: _____	Signature: _____	Citizen of: _____

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P.4

Supplemental Application Data Sheet**Application Information**

Application number:: 09/699,098
Filing Date:: 10/27/00
Application Type:: Regular
Subject Matter:: Utility
Suggested Group Art Unit:: 2165
CD-ROM or CD-R?:: None
Sequence submission?:: None
Computer Readable Form (CRF)?:: No
Title:: SECURE DATA INTERCHANGE
Attorney Docket Number:: P0813.70016US02
Request for Early Publication?:: No
Request for Non-Publication?:: No
Small Entity?:: Yes
Petition Included?:: No
Secrecy Order in Parent Appl.?:: No

Applicant Information

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
Given Name:: Frederick
Middle Name:: S.M.
Family Name:: Herz
City of Residence:: Warrington
State or Province of Residence:: PA
Country of Residence:: US
Street of mailing address:: Box 625
Canaan Valley
City of mailing address:: Davis

Page # 1

Supplemental 09699098 10/27/00 01/09/05

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P.5

State or Province of mailing address:: WV
Postal or Zip Code of mailing address:: 26260

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
Given Name:: Walter
Middle Name:: Paul
Family Name:: Labys
City of Residence:: Salt Lake City
State or Province of Residence:: UT
Country of Residence:: US
Street of mailing address:: 965 Fairview Avenue
City of mailing address:: Salt Lake City
State or Province of mailing address:: UT
Postal or Zip Code of mailing address:: 84105-1703

Applicant Authority Type:: Inventor
Primary Citizenship Country:: United Kingdom
Status:: Full Capacity
Given Name:: David
Middle Name:: C.
Family Name:: [[Parke]] Parkes
City of Residence:: Philadelphia
State or Province of Residence:: PA
Country of Residence:: US
Street of mailing address:: 1122 Spruce Street
Apartment 3D
City of mailing address:: Philadelphia
State or Province of mailing address:: PA
Postal or Zip Code of mailing address:: 19107

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Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
Given Name:: Sampath
Family Name:: Kannan
City of Residence:: Philadelphia
State or Province of Residence:: PA
Country of Residence:: US
Street of mailing address:: 1107 Spruce Street
City of mailing address:: Philadelphia
State or Province of mailing address:: PA
Postal or Zip Code of mailing address:: 19107

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
Given Name:: Jason
Middle Name:: M.
Family Name:: Eisner
City of Residence:: Philadelphia
State or Province of Residence:: PA
Country of Residence:: US
Street of mailing address:: 1015 Spruce Street
City of mailing address:: Philadelphia
State or Province of mailing address:: PA
Postal or Zip Code of mailing address:: 19107

Correspondence Information

Correspondence Customer Number:: 23626

Representative Information

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P.7

Representative Customer Number:: 23628

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	An application claiming the benefit under 35 USC 119(e)	60/161640	10/27/99
This Application	An application claiming the benefit under 35 USC 119(e)	60/206538	05/23/00

Foreign Priority Information**Assignee Information**

PTO/BB/01A (07-07)

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**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76)**

Title of Invention	SECURE DATA INTERCHANGE
-------------------------------	--------------------------------

As the below named inventor(s), I/we declare that:
This declaration is directed to:

☐ The attached application, or

☒ Application No. 09/699098 filed on 10/27/2000

☒ As amended on Feb. 13, 2004; Aug. 23, 2004; Oct. 7, 2004; May 17, 2005;
Feb. 8, 2006; Oct. 16, 2006; Oct. 8, 2008; and Jan. 5, 2009

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.69, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

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All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor one: Frederick S.M. Herz Date: _____

Signature: _____ Citizen of: US

Inventor two: Walter Paul Labys Date: 2/12/09

Signature: Walt Paul Labys Citizen of: US

☒ Additional inventors or a legal representative are being named on 1 additional form(s) attached hereto.

03/12/2009 11:07 6174958612

PAGE 02/07

<p style="text-align: right;">PTO/SB/01A (07-07) Approved for use through 06/30/2010. OMB 0693-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE</p> <p>Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.</p>	
DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)	
Title of Invention	SECURE DATA INTERCHANGE
<p>As the below named inventor(s), I/we declare that: This declaration is directed to:</p> <p><input type="checkbox"/> The attached application, or</p> <p><input checked="" type="checkbox"/> Application No. <u>09/699098</u> filed on <u>10/27/2000</u></p> <p><input checked="" type="checkbox"/> As amended on <u>Feb. 13, 2004; Aug. 23, 2004; Oct. 7, 2004; May 17, 2005;</u> <u>Feb. 8, 2006; Oct. 16, 2006; Oct. 8, 2008; and Jan. 5, 2009</u></p> <p>I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;</p> <p>I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;</p> <p>I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.</p> <p style="text-align: center;">WARNING:</p> <p>Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.</p> <p>All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.</p>	
FULL NAME OF INVENTOR(S)	
Inventor one: <u>Frederick S.M. Herz</u>	Date: _____
Signature: _____	Citizen of: <u>US</u>
Inventor two: <u>Walter Paul Labys</u>	Date: _____
Signature: _____	Citizen of: <u>US</u>
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on <u>1</u> additional form(s) attached hereto.	

03/12/2009 11:07 6174958612

PAGE 03/07

SUPPLEMENTAL SHEET TO PTO SB/01A

DECLARATION FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET		ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 1	
Inventor three: <u>David C. Parkes</u>	Date: <u>March 6, 2009</u>		
Signature: <u><i>David C. Parkes</i></u>	Citizen of: <u>United Kingdom</u>		
Inventor four: <u>Sampath Kannan</u>	Date: _____		
Signature: _____	Citizen of: <u>US</u>		
Inventor five: <u>Jason M. Elaner</u>	Date: _____		
Signature: _____	Citizen of: <u>US</u>		
Inventor six: _____	Date: _____		
Signature: _____	Citizen of: _____		
Inventor seven: _____	Date: _____		
Signature: _____	Citizen of: _____		
Inventor eight: _____	Date: _____		
Signature: _____	Citizen of: _____		
Inventor nine: _____	Date: _____		
Signature: _____	Citizen of: _____		
Inventor ten: _____	Date: _____		
Signature: _____	Citizen of: _____		
Inventor eleven: _____	Date: _____		
Signature: _____	Citizen of: _____		
Inventor twelve: _____	Date: _____		
Signature: _____	Citizen of: _____		
Inventor thirteen: _____	Date: _____		
Signature: _____	Citizen of: _____		
Inventor fourteen: _____	Date: _____		
Signature: _____	Citizen of: _____		

03/12/2009 11:07 6174958612

PAGE 04/07

Supplemental Application Data Sheet**Application Information**

Application number:: 09/699,098
Filing Date:: 10/27/00
Application Type:: Regular
Subject Matter:: Utility
Suggested Group Art Unit:: 2165
CD-ROM or CD-R?: None
Sequence submission?: None
Computer Readable Form (CRF)?:: No
Title:: SECURE DATA INTERCHANGE
Attorney Docket Number:: P0813.70016US02
Request for Early Publication?: No
Request for Non-Publication?: No
Small Entity?: Yes
Petition included?: No
Secrecy Order in Parent Appl.?: No

Applicant Information

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
Given Name:: Frederick
Middle Name:: S.M.
Family Name:: Herz
City of Residence:: Warrington
State or Province of Residence:: PA
Country of Residence:: US
Street of mailing address:: Box 625
Canaan Valley
City of mailing address:: Davis

03/12/2009 11:07 6174958612

PAGE 05/07

State or Province of mailing address:: WV
Postal or Zip Code of mailing address:: 26260

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
Given Name:: Walter
Middle Name:: Paul
Family Name:: Labys
City of Residence:: Salt Lake City
State or Province of Residence:: UT
Country of Residence:: US
Street of mailing address:: 965 Fairview Avenue
City of mailing address:: Salt Lake City
State or Province of mailing address:: UT
Postal or Zip Code of mailing address:: 84105-1703

Applicant Authority Type:: Inventor
Primary Citizenship Country:: United Kingdom
Status:: Full Capacity
Given Name:: David
Middle Name:: C.
Family Name:: [[Parks]] Parkes
City of Residence:: Philadelphia
State or Province of Residence:: PA
Country of Residence:: US
Street of mailing address:: 1122 Spruce Street
Apartment 3D
City of mailing address:: Philadelphia
State or Province of mailing address:: PA
Postal or Zip Code of mailing address:: 19107

03/12/2009 11:07 6174958612

PAGE 06/07

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
Given Name:: Sampath
Family Name:: Kannan
City of Residence:: Philadelphia
State or Province of Residence:: PA
Country of Residence:: US
Street of mailing address:: 1107 Spruce Street
City of mailing address:: Philadelphia
State or Province of mailing address:: PA
Postal or Zip Code of mailing address:: 19107

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
Given Name:: Jason
Middle Name:: M.
Family Name:: Eisner
City of Residence:: Philadelphia
State or Province of Residence:: PA
Country of Residence:: US
Street of mailing address:: 1015 Spruce Street
City of mailing address:: Philadelphia
State or Province of mailing address:: PA
Postal or Zip Code of mailing address:: 19107

Correspondence Information

Correspondence Customer Number:: 23628

Representative Information

03/12/2009 11:07 6174959612

PAGE 07/07

Representative Customer Number:: 23628

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	An application claiming the benefit under 35 USC 119(e)	60/161840	10/27/99
This Application	An application claiming the benefit under 35 USC 119(e)	60/206538	05/23/00

Foreign Priority Information**Assignee Information**

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703 292 9059

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Title of Invention	SECURE DATA INTERCHANGE
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This declaration is directed to:

☐ The attached application, or☒ Application No. 09/699098 filed on 10/27/2000☒ As amended on Feb. 13, 2004; Aug. 23, 2004; Oct. 7, 2004; May 17, 2005;
Feb. 8, 2006; Oct. 16, 2006; Oct. 8, 2008; and Jan. 5, 2009

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

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FULL NAME OF INVENTOR(S)Inventor one: Frederick S.M. Herz Date: _____Signature: _____ Citizen of: USInventor two: Walter Paul Labys Date: _____Signature: _____ Citizen of: US☒ Additional inventors or a legal representative are being named on 1 additional form(s) attached hereto.

MAR-11-2009 11:40

NSF CISE CCF

703 292 9059 P.03

SUPPLEMENTAL SHEET TO PTO 99/01A

DECLARATION FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET		ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 1	
Inventor three: <u>David C. Parkes</u>	Date: _____	Signature: _____	Citizen of: <u>United Kingdom</u>
Inventor four: <u>Sampath Kannan</u>	Date: <u>3/11/09</u>	Signature: <u><i>Sampath Kannan</i></u>	Citizen of: <u>US</u>
Inventor five: <u>Jason M. Elsner</u>	Date: _____	Signature: _____	Citizen of: <u>US</u>
Inventor six: _____	Date: _____	Signature: _____	Citizen of: _____
Inventor seven: _____	Date: _____	Signature: _____	Citizen of: _____
Inventor eight: _____	Date: _____	Signature: _____	Citizen of: _____
Inventor nine: _____	Date: _____	Signature: _____	Citizen of: _____
Inventor ten: _____	Date: _____	Signature: _____	Citizen of: _____
Inventor eleven: _____	Date: _____	Signature: _____	Citizen of: _____
Inventor twelve: _____	Date: _____	Signature: _____	Citizen of: _____
Inventor thirteen: _____	Date: _____	Signature: _____	Citizen of: _____
Inventor fourteen: _____	Date: _____	Signature: _____	Citizen of: _____

03/17/2009 12:24 FAX 443 436 1256

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)**Title of Invention**

SECURE DATA INTERCHANGE

As the below named inventor(s), I/we declare that:

This declaration is directed to:

☐ The attached application, or☒ Application No. 09/699098 filed on 10/27/2000☒ As amended on Feb. 13, 2004; Aug. 23, 2004; Oct. 7, 2004; May 17, 2005; Feb. 8, 2006; Oct. 16, 2006; Oct. 9, 2008; and Jan. 5, 2009

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought.

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All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

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003/006

SUPPLEMENTAL SHEET TO PTO SB/01A

DECLARATION FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET	ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 1
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Supplemental Application Data Sheet**Application Information**

Application number::	09/699,098
Filing Date::	10/27/00
Application Type::	Regular
Subject Matter::	Utility
Suggested Group Art Unit::	2165
CD-ROM or CD-R?::	None
Sequence submission?::	None
Computer Readable Form (CRF)?::	No
Title::	SECURE DATA INTERCHANGE
Attorney Docket Number::	P0813.70016US02
Request for Early Publication?::	No
Request for Non-Publication?::	No
Small Entity?::	Yes
Petition included?::	No
Secrecy Order In Parent Appl.?::	No

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005/006

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Representative Information

Representative Customer Number:: 23628

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	An application claiming the benefit under 35 USC 119(e)	60/161640	10/27/99
This Application	An application claiming the benefit under 35 USC 119(e)	60/206538	05/23/00

Foreign Priority Information**Assignee Information**